# ARIZONA REGISTRAR of CONTRACTORS (ROC)

#### COMPLAINT FORM INFORMATION

3838 N Central Ave, Suite 400 - Phoenix, AZ 85012-1946

#### Complaints against a licensed contractor must be filed within two years from the date of:

- Close of escrow or actual occupancy (whichever occurred first) of a new structure or completion of remodel/repair.
- Repair(s) made by a contractor during the first two years from the date of close of escrow or occupancy (whichever occurred first) or completion of remodel/repair.

Prior to filing a complaint, we strongly urge you to contact your contractor and advise him or her of your problem(s). Many complaints can be resolved by simply opening the lines of communications.

For a list of violations of ROC statutes that are cause for suspension or revocation of a license, please visit our website at <a href="http://www.azroc.gov/statutes.html">http://www.azroc.gov/statutes.html</a>.

#### Complaints against an unlicensed contractor can be considered at any time and should include copies of:

- Proposals, contracts, purchase orders and invoices.
- Both sides of any check(s) paid to the suspect.
- Any business card, flyer, or other form of advertising.
- Any documents obtained by you or the suspect that relates to your complaint, i.e. permits, sketches and photos.

DO NOT SEND ORIGINAL DOCUMENTS (all documents may be viewed by the public)

#### COMPLAINT FORM INSTRUCTIONS

Please provide as much of the requested information as possible.

# Section #1. "Person Filing The Complaint"

- Include a phone number where we can reach you during the day and a mailing address where you want your mail sent.
- If filing on behalf of a Homeowners Association (HOA), **you must** include an HOA resolution signed by three board members. You can download a sample form at: <a href="http://www.azroc.gov/Acrobat/Inspect/RC-C-073.pdf">http://www.azroc.gov/Acrobat/Inspect/RC-C-073.pdf</a>.

## Section #2. "Contractor Filed Against"

List only one contractor per complaint form. File a separate form for each contractor involved. Any form filed against two contractors will be returned.

#### Section #3. "Contract and Worksite Information" and Section #4. "Complaint Information"

To avoid delays in the processing of your complaint, please fill out these two sections completely. (If any information requested on the form does not apply to your complaint, enter none or N/A (not applicable).

#### Section #5. "Numerical List of Complaint Items"

- <u>Numerically</u> list the problem items in a logical order.
- If necessary, attach additional pages listing the items <u>numerically.</u>
- Include in the complaint everything you want to be considered by the inspector. The Inspector will evaluate <u>only</u> those items listed in your complaint. Items added after the initial filing date may result in delays.

#### Completing the Form and Things to Know

- **✓** The complaint form you submit must have your <u>original signature</u>; no copies or fax copies will be accepted.
- ✓ Include only photocopies of original supporting documents and retain all originals for your future use.
- ✓ Do not include photographs, video or audiotapes, blueprints, ring binders or other items at this time.
- ✓ Any material submitted with your complaint may be viewed by the public and will not be returned to you.
- ✓ The complaining party(ies) must sign and date the complaint form. If filing on behalf of a company, an owner, partner or officer of the company must sign the form. Unsigned complaint forms will be returned.
- ✓ You may deliver or mail your complaint form to the nearest Registrar of Contractors' office (please keep a copy of the form for your records).
- ✓ If you have questions, you can browse the ROC website or call any of our offices (see other side).

# ARIZONA REGISTRAR of CONTRACTORS

Statewide Offices

## **Flagstaff**

2901 Shamrell Blvd., Suite 100 Flagstaff, Arizona 86001-1829 Telephone: (928) 526-2325 Directions: Exit 337 on Interstate17 to Shamrell Blvd.

## Kingman

715 Main St., Suite C Kingman, AZ 86401-6220 Telephone: (928) 753-4220 Directions: Between Pico and Johnson, off Stockton Hill, next to the Main Post Office.

## **Lake Havasu City**

231 Swanson Ave., Ste. 100 Lake Havasu City, AZ 86403-0966 Telephone: (928) 855-2144 Directions: On Swanson, North of S. Smoketree Ave. Exit HWY 95 at Swanson or S. Smoketree.

## **Prescott**

240 South Montezuma Street, Suite 202B Prescott, Arizona 86303-3028 Telephone: (928) 445-5710 Directions: South from Gurley on Montezuma St. across from the Salvation Army.

#### **Show Low**

581 East Old Linden Road, Suite C Show Low, Arizona 85901 Telephone: (928) 537-8842 Directions: Next to Baker Office City.

# <u>Sierra Vista</u>

333 West Wilcox, Suite 104 Sierra Vista, Arizona 85635 Telephone: (520) 459-5119 Directions: In the Wick Building.

#### **Tucson**

400 West Congress Road, Suite 212
Tucson, Arizona 85701-1311
Telephone: (520) 628-6345
Directions: Northwest corner of West Congress and
Granada.

## Yuma

2450 S 4th Ave Ste # 117 Yuma, Arizona 85364 Telephone: (928) 344-6990 Directions: Northwest Corner of 24th Street and 4th Ave. in the Crescent Center.

### **Phoenix**

CITY SQUARE
3838 N Central Ave, Suite 400
Phoenix, AZ 85012-1946
Telephone: (602) 542-1525
Toll Free within Arizona
1-877-MY AZROC (1-877-692-9762)
TDD: (602) 542-1588

Directions: Southwest building at Clarendon and Central Ave. in the City Square Plaza

# ARIZONA REGISTRAR of CONTRACTORS

# **COMPLAINT FORM**

## for

# **Licensed and Unlicensed Contractors**

LICENSE #	CLASS	TYPE OF ENTIT	Y	STATUS	STATUS		COMPLAINT #			
NAME OF CONTRACTOR						ASSIGNED TO				
DO NOT WRITE IN THE GREY AREA ABOVE, FOR OFFICIAL USE ONLY										
1. PERSON FILING THE COMPLAINT										
Homeowner										
Name Company name (If filing on behalf of a company)										
Mailing address			City			State			Zip	
Daytime phone	Home/Cell phone	Home/Cell phone number			E-mail address				If licensed, ROC license #.	
Attorney's name (if any)	Attorney's phone number Attorn			ney's address (include City, State and Zip)				'		
2. CONTRACTOR FILED AGAINST										
Contractor name				ROC license #. (If			known) Phone numb		ne number	
Contractor's mailing address				ity			State Zip			
3. CONTRACT AND WORKSITE INFORMATION										
Date of contract   Verbal   Written   Contract amoun			nt Total paid to cont						I owe I'm owed	
Both □ \$			\$			Yes □ No□   §			\$	
The property is: Residential Commercial Project is a: New structure Repair/Replace Remodel Other										
Worksite address (where the work was performed)				City			State Zip			
Briefly describe the type of work for which you contracted (Example: room addition, landscaping, concrete, painting, roofing, pool, etc.)										
Completion date	Date work last performed			Close of escrow date				Move-in date		
Building permit Plans and specifications Has any of the work been repaired or completed by a new contraction.							hy a new contractor?			
Yes □ No □ Yes □ No □			Yes □ No□							
Important:										
Do not send original control or send or s	ginals of supporti					d to you				
All documents submitted with this complaint may be viewed by the public.  (To protect your privacy, black out all SSN or financial account information.)										
<ul> <li>Briefly list all complaint items in <u>numbered</u> order on page two of this form.</li> </ul>										
Incomplete or unsigned complaint forms will be returned.										
Sign, date and submit the original complaint form. Make a copy for your records.										
"Under penalty of law, I verif	y that the inform	nation given in	this o	complaint	is true to the	e best d	of my kno	wledg	ge"	
S' (C )								_		
Signature (Complainant or At	torney)	Print y	our na	me				D	ate	

Persons with disabilities may contact the ADA Coordinator at 602-542-1525; TDD 602-542-1588 or Toll Free 1-877-MY AZROC (1-877-692-9762).

**Deliver or mail** the original complaint form to the nearest Registrar of Contractors' office.

# ARIZONA REGISTRAR of CONTRACTORS

# **Complaint Form For Licensed and Unlicensed Contractors**

4. COMPLAINT INFORMATION							
My complaint is for: Abandonment ☐ Poor work ☐ No pay ☐ Other							
If you have made attempts to contact the contractor, check any of the following that apply.							
By phone ☐ In person☐ Have not☐ Unable to find contractor ☐ Written correspondence☐ (provide copies)							
What do you want the contractor to do to resolve your complaint?							
5. NUMERICAL LIST OF COMPLAINT ITEMS							
• Briefly list and <u>number</u> each complaint item below.							
• The inspector will address only the items listed in your written complaint.							
Example: #1. "The stucco on the west side of the home is cracked." (Note: type of work, defect and location should be identified)							
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If you need more space to list your complaints, you may include them on additional pages. Deliver or mail this complaint form to the nearest Registrar of Contractors' office